

L 0300  
Assistant Commissioner of Patents

ATTN: CUSTOMER SERVICE CENTER/INITIAL PATENT DIV.  
Washington, D.C. 20231

Section  
#

RE: Our File: WAVE 3.0-009

Applicant: SMITH

Filed: 1/01/01

For: RIBBED MODULE FOR  
WAVE ENERGY DISPERSION



Serial/Patent No.: 10/002,601

Group Art Unit:

Examiner:

Batch No.:

COPY OF PAPERS  
ORIGINALLY FILED

Enclosed for filing in the U.S. Patent and Trademark Office are:  
( ) Utility/Design/Provisional/Patent Application

- (X) Law Firm Transmittal Letter
- ( ) Letter-Official Draftsman
- ( ) Preliminary Amendment
- ( ) Notice of Appeal/Motion/Brief
- (X) Assignment/Cover Sheet
- ( ) TABS \_\_\_\_\_ to \_\_\_\_\_
- ( ) Certificate of Correction
- (X) Check No. 4591 - \$475.00 (435+46)
- ( ) Claim of Priority - Cert'd Copies
- ( ) Drawings \_\_\_\_\_ sheets -
- ( ) Formal/Informal

- ( ) Response/Amendment
- (X) Affidavit/Declaration P. 6
- ( ) Petition
- ( ) Disclosure Statement
- ( ) Small Entity Statement
- ( ) Issue Fee/Maint. Fee
- ( ) Sworn Translation
- (X) Postcard acknowledging receipt of the above-identified material
- ( ) Application Cover Sheet
- (X) FORMALITIES LETTER 4198

Conditional Petition and Fee for Extension of Time: If any extension of time for the accompanying response is required, applicant requests that this be considered a petition therefor.

In connection with the above-identified matter, please charge any additional fees or any other charges related to this matter to the deposit account of the writer, No. 23-0812. A duplicate copy of this letter is enclosed.

Enclosures

DATED: 2/15/02

Respectfully submitted,

Edward R. Weingram  
Registration No. 24,493  
WEINGRAM & ASSOCIATES, P.C.  
P.O. BOX 927  
197 W. Spring Valley Avenue  
Maywood, NJ 07607  
TEL: (201) 843-6300  
FAX: (201) 843-6495

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231, ON

DATED: 2/15/02

(Signature)

PTFORMS\PTOCVRLT.ERW



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/002,601	11/01/2001	Dennis G. Smith	WAVE 3.0-009

28885  
WEINGRAM & ASSOCIATES P.C.  
P.O. BOX 927  
197 W. SPRING VALLEY AVENUE  
MAYWOOD, NJ 07607

COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 4198

## FORMALITIES LETTER



\*OC000000007224421\*

Date Mailed: 12/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/11/2002 MROCHA1 00000021 10002501

01 FC:201  
02 FC:205

370.00 DP  
65.00 DP